

Oracle Financial Services
Compliance Regulatory
Reporting Applications Pack

Version 8.0.0.0.0

Release Notes



DOCUMENT CONTROL

Version Number	Revision Date	Changes Done
1.0	Created: January 2015	Captured Software environment details, new features, fixed issues, limitations and known issues for 8.0.0.0.0 release.
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Preface

This Preface provides supporting information for the Oracle Financial Services Compliance Regulatory Reporting (OFS CRR) Application Pack Release Notes.

This preface includes the following topics:

- [Purpose of This Document](#)
- [Intended Audience](#)
- [Related Documents](#)

Purpose of This Document

This document contains release information for the following products:

- Oracle Financial Services Analytical Applications Infrastructure (OFS AAI)
- Oracle Financial Services Compliance Regulatory Reporting

Intended Audience

This document is intended for users of Oracle Financial Services Compliance Regulatory Reporting (OFS CRR) Application Pack.

Related Documents

This section identifies additional documents related to Oracle Financial Services Compliance Regulatory Reporting (OFS CRR) Application Pack 8.0.0.0. You can access Oracle documentation online from the Documentation Library for OFS CRR 8.0.0.0 ([OTN](#)).

OFSAA Related Documents

- [Oracle Financial Services Advanced Analytical Applications Infrastructure \(OFS AAAI\) Application Pack 8.0.0.0 Installation and Configuration Guide](#)
- [Oracle Financial Services Analytical Applications Infrastructure Environment Check Utility Guide](#)
- [Oracle Financial Services Analytical Applications Infrastructure User Guide](#)
- [Oracle Financial Services Analytical Applications Infrastructure Administration Guide](#)
- [Oracle Financial Services Analytical Applications v8.0 Technology Matrix](#)

OFS CRR Related Documents

- [Oracle Financial Services Compliance Regulatory Reporting Applications Pack Installation Guide](#)
- [Oracle Financial Services Compliance Regulatory Reporting Administration Guide](#)

- *Oracle Financial Services Compliance Regulatory Reporting Data Model Reference Guide*
- *Oracle Financial Services Compliance Regulatory Reporting User Guide*
- *Oracle Financial Services Compliance Regulatory Reporting Web Service Guide*

1 Introduction

This chapter covers following topics:

- [About Oracle Financial Services Analytical Applications \(OFSAA\)](#)
- [Components of Oracle Financial Services Compliance Regulatory Reporting \(OFS CRR\) Applications Pack](#)

1.1 About Oracle Financial Services Analytical Applications (OFSAA)

In today's turbulent markets, financial institutions require a better understanding of their risk-return, while strengthening competitive advantage and enhancing long-term customer value. Oracle Financial Services Analytical Applications (OFSAA) enable financial institutions to measure and meet risk adjusted performance objectives, cultivate a risk management culture through transparency, lower the costs of compliance and regulation, and improve insight into customer behavior.

OFSAA uses industry-leading analytical methods, shared data model and applications architecture to enable integrated risk management, performance management, customer insight, and compliance management. OFSAA actively incorporates risk into decision making, enables to achieve a consistent view of performance, promote a transparent risk management culture, and provide pervasive intelligence.

Oracle Financial Services Analytical Applications delivers a comprehensive, integrated suite of financial services analytical applications for both banking and insurance domain.

1.2 Components of Oracle Financial Services Compliance Regulatory Reporting (OFS CRR) Applications Pack

OFS CRR Application assists financial institutions in information gathering, and generation and filing of SAR reports with regulatory bodies as part of an integrated financial crime and compliance management program.

OFS CRR application provides a single, global regulatory reporting approach to address suspicious activity reporting requirements across different countries and jurisdictions. As part of this coverage, the OFS CRR supports the following:

- Pre-Defined Mapping of business information and investigation information to the applicable jurisdiction-specific regulatory reporting format.
- Different Report Formats for generating a suspicious activity report as mandated by local regulatory bodies. Some examples are PDF, XML, MS Excel, and so on.

- Pre-Configured Controls for supporting guidelines and specifications defined by the local regulatory body. This ensures compliance with SAR reporting mandates and ensures error-free report submission to regulators.

OFS Compliance Regulatory Reporting Applications Pack includes the following applications:

- **Financial Services Analytical Applications Infrastructure:** This application streamlines analysis using a set of tools for data management and security administration and creates a single, consistent, enterprise-wide source of all relevant customer and financial data.
- **Financial Services Compliance Regulatory Reporting:** This application enables financial institutions to meet their regulatory reporting requirements as part of integrated financial crime and compliance management approach and helps reduce compliance costs and manage potential exposures.

2 Oracle Financial Services Analytical Applications Infrastructure

This chapter includes the following topics:

- [New Features](#)
- [Limitations and Known Issues](#)
- [Fixed Issues](#)

2.1 New Features

The following new features are supported in this release:

- **Unified Install Experience**

Install multiple applications/ products using a single installer. This release of OFSAA ships various Application Installers with related applications packaged together in groups. User can install all applications that belong to a group, but choose to enable only the licensed applications.
- **Schema Creator**

This release of OFSAA comes with a utility to allow hassle-free creation of database schemas required for the OFSAA installation. The utility avoids user errors in schema creation/ assigning grants for the multiple schemas required.
- **Common Landing Page and Application Context**

A common landing page is available for all users until a preferred application landing page has been set. The landing page is divided into multiple tabs and each tab has specific links to OFSAA Infrastructure and/ or Application modules. Depending on the OFSAA Application being accessed and the access roles mapped to the logged in User, the tab and links would be displayed.
- **Applications Tab**

This tab lists the various OFSAA Applications that are installed in the OFSAA setup and the “Select Application” drop-down lists the OFSAA Applications based on the logged in User and mapped OFSAA Application User Group(s). Links to related modules within Applications and Infrastructure are grouped appropriately to maintain a unified experience.
- **Object Administration Tab**

This tab lists the various OFSAA Information Domains created in the OFSAA setup and the “Select Information Domain” dropdown lists the OFSAA Information Domains based on the logged in User and mapped OFSAA Application User Group(s). Links to modules that enable object traceability and migration of objects are grouped in this tab.
- **System Configuration & Identity Management Tab**

This tab lists the OFSAA Infrastructure System Configuration and Identity Management modules. These modules work across Applications/ Information Domains and hence

there are no Application/ Information Domain dropdown list in this tab). Links to modules that allow the maintenance of setup installation and identity management tasks are grouped together in this tab.

- **Model Upload changes**

Use the NO VALIDATE option to bypass referential integrity checks during the model upload process.

- **Support for new technologies**

Additional support for Oracle Database12c, WebLogic Server 12c, WebSphere Application Server 8.5 +, Internet Explorer 11(Compatibility Mode), Windows 8.1 & Office 2010 & 2013 is available in this release. Encryption uses SSL 3.0.

2.2 Limitations and Known Issues

The following table lists the Limitations and Known Issues:

Component	Sub component	Bug ID	Description
Forms Framework	Forms: ROR	16594419	On selecting the currency control feature along with field chooser option, some fields are displayed as blank even if there is data.
	Forms: ROR	10090072	Export does not work if the column name and mapper information have special characters such as '&' and '<' and '>' respectively.
	Forms: ROR	12678207	Quick Select does not display the Key Business Dimensions combinations when any of the selected hierarchy node descriptions contain = and \ characters.
Administration	Security Management	18937484	Segments/ Folder is not deleted if objects are present in it.
	Security Management	12744050	Users exceeding the Number of Invalid Login attempts / dormant day / Inactive Days are sent to System Authorizer for authorization even if there is an authorization pending for the same

Component	Sub component	Bug ID	Description
			user whose details are modified.
Generic Issues		9540094	Keyboard backspace button when clicked from Infrastructure home page logs out from the application
		9883414	Opened child window from the home page remains active even after the parent window is closed by clicking on "X" button; operations performed on child window are getting saved
		10144582	OFSAAI Login page cannot be accessed when Google toolbar for Internet Explorer is enabled. Workaround: Disable the "Google toolbar" from the tools properties of your browser.
	Expressions	12663701	Expressions containing special characters such as @, ~, # are displayed as "undefined" under Variants in Entity Group Selection pane
		20268074	Oracle cube defn failed: Cube is not saved in the AW due to initialization error
RDBMS	Programmatic Interface	18501701	Maximum length of CLOB object supported is 65535 characters.

2.3 Fixed Issues

The following table lists the fixed issues:

Component	Sub component	Bug ID	Description
Data Model		16298167	dimension columns defined as nullable in portfolio super class
Install and Upgrade Issues	Base Installer	19434764	Appropriate message not prompted during revalues server

Component	Sub component	Bug ID	Description
			start up for first time.
System Configuration	Information Domain	20042362	Generate BI hierarchy option in Infodom creation is removed & defaulted as None
	Configuration	19332737	Database server details name can be only 20 characters
	Configuration	19073237	In clustered environment, configuration parameter updated are getting refreshed

3 Oracle Financial Services Compliance Regulatory Reporting

This chapter includes the following topics:

- [New Features](#)
- [Limitations and Known Issues](#)
- [Fixed Issues](#)

3.1 New Features

None

3.2 Limitations and Known Issues

The following table lists the Limitation and Known Issues:

Component	Sub Component	Bug ID	Description
Web UI	Web UI	20191559	Base currency and reporting currency codes are not matching.
		18468595	Arabic items are not displayed properly in excel.
		17543099	Under transaction tab: transaction method column to be removed from tranx grid With goAML updates to the system, Transaction Method field is removed from all the pages but the column is still displayed in the grid.
		16487156	Error message should be clearer depending on the number of records deleted.
		16487139	State list does not refresh after the clear button is pressed.
		14706688	The issuing authority field is not mapping over from

Component	Sub Component	Bug ID	Description
			mantas involved parties.
		17902285	Pop-Up windows overlap across 2 screens
		18921527	The first sheet of the excel report may not show all information entered by the user in some fields, if the amount of text exceeds the row and column height as set by the regulators template.

3.3 Fixed Issues

The following table lists the Fixed Issues:

Component	Sub component	Bug ID	Description
Web UI	Web UI	18959429	In the Narrative section, when we have some key words, system is not allowing to save the narrative section
		17188344	Contexts help not displaying completely.

4 Hardware/Software Tech Stack Details

The hardware/software combinations required for OFS CRR 8.0.0.0.0 are available at [OTN Tech Stack](#).

5 Information of third party software tools used

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